

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Ng

Serial No.:

Examiner:

Filing Date:

Group Art Unit:

Title: DEVICE AND METHOD FOR EMITTING COMPOSITE OUTPUT LIGHT USING MULTIPLE
WAVELENGTH-CONVERSION MECHANISMS

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 processing fee under 37 CFR 1.17(p).
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☒ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (*) were
disclosed in Patent Application No. _____ filed _____, now U. S. Patent No.
_____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR
1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications
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individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the
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By Thomas H. Ham

Typed Name: **Thomas H. Ham**

Respectfully submitted,

Ng

By Thomas H. Ham

Thomas H. Ham

Attorney/Agent for Applicant(s)
Reg. No. **43,654**

Date: **3/18/04**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

70030847-1

SERIAL NO.

APPLICANT

Ng

FILING DATE

3/18/04

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		6,509,651 B1	01/21/03	Matsubara et al.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)